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General Fisheries Commission  
for the Mediterranean  
Commission générale des  
pêches  
pour la Méditerranée



CONFERENCE MINISTERIELLE SUR LA  
COOPERATION HALIEUTIQUE ENTRE LES  
ETATS AFRICAINS RIVERAINS  
DE L'OCEAN ATLANTIQUE

# PSMA

## Operational Procedures

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# Summary

- Inspection capacity
- Risk management
- Inspection report (Annex C)
- Case studies

# Inspection capacity

- Designation of ports
- Establish minimum inspection levels
- Nomination of National contact points
- Sustainability of inspection capacity
- Development of risk management
- Establish electronic communication mechanisms

# Risk and risk analysis

- Risk

Probability of a fisheries non-compliance to occur

- Risk analysis

The process of gathering, assessing and grading data, information and intelligence in order to identify and quantify individual risks

# **Risk management**

- Risk management

The systematic identification of risks and the implementation of measures necessary to limit the occurrence of these risks

# **Risk analysis. Why ?**

- Inspection focus
- Optimising resources and efficiency
- Maximise outcomes
- Accountability

# Data and information sources

- Inter-agency information exchange
- Bi-lateral information exchange
- Aerial and surface surveillance information
- VMS and AIS (or satellite imagery)
- Logbooks and E-Logbooks (ERS)
- Historic intelligence
- Cross-check analysis (validation)
- Trend analysis

# Risk matrix

RISK	5	4	3	2	1
Flag State	FS 1 FS 2	FS 6 FS 7	Other FS	FS 8 FS 9	FS 3 FS 4 FS 5
Species	Tuna 1 Tooth fish	Mackerel Tuna 2	Shark 1 Tuna 3	Other tunas Other sharks	Other species
Fishing grounds	HS no RFMO Unknown	CS 1, 2, 3 RFMO 1	CS 4 RFMO 2	CS 5, 6	CS 7, 8 RFMO 3, 4
Tracking equipment	No VMS eq. No AIS eq.	AIS/VMS eq. but no data	AIS data	Limited VMS data	VMS data
Vessel information	No data or information available	Data from prev. insp. w/ infring.	Data from prev. insp. no infring.	Basic data from FS	Data from FS and prev. insp. no infring.



# Risk matrix

- 5 to 10 = inspection not a priority
- 10 to 15 = inspection
- 15 to 20 = mandatory inspection
- 20 to 25 = port entry refuse

# Risk matrix

	Vessel 1	Vessel 2	Vessel 3
<b>Flag State</b>	Country 2 5	Country 5 1	Others 3
<b>Species</b>	Tooth fish 5	Other sharks 2	Mackerel 4
<b>Fishing grounds</b>	Unknown 5	CS 6 2	RFMO 2 3
<b>Tracking equipment</b>	No AIS/VMS 5	VMS data 1	AIS data 3
<b>Vessel information</b>	No data nor information 5	Basic data from FS 2	Data insp. w/ infring. 4
<b>Risk total</b>	<b>25</b>	<b>8</b>	<b>17</b>

# **Inspection report (annex C)**

1. Inspection report number
2. Inspecting Port State
3. Inspecting authority
4. Name and ID of principal inspector
5. Port of inspection
6. Commencement of inspection (date/time)
7. Completion of inspection (date/time)
8. Advanced notification received

# **Inspection report (annex C)**

- 9. Purpose (LAN/TRA/PRO/OTH)
- 10. Port, State and date of last port call
- 11. Vessel name
- 12. Flag State
- 13. Type of vessel
- 14. IRCS
- 15. Certificate of registry ID
- 16. IMO ship ID (unique identifier)

# **Inspection report (annex C)**

17.External ID

18.Port of registry

19.Vessel owner(s)

20.Vessel beneficial owners

21.Vessel operators

22.Vessel master name and nationality

23.Fishing master name and nationality

24.Vessel agent

# Inspection report (annex C)

25.VMS type (FS/CS/RFMO)

26.Status in RFMO

- Vessel ID
- RFMO
- Flag State status
- Vessel on authorised list
- Vessel on IUU list

# Inspection report (annex C)

## 27. Relevant fishing authorisation(s)

- Identifier
- Issued by (FS/CS/RFMO)
- Validity (period)
- Fishing areas
- Species
- Gear(s)

# Inspection report (annex C)

## 28. Relevant transshipment authorisation(s)

- Identifier
- Issued by (FS/CS/RFMO)
- Validity (period)



# Inspection report (annex C)

## 29. Transhipment information (TRX from)

- Name
- Flag State
- ID
- Species
- Product form
- Catch areas
- Quantity

# Inspection report (annex C)

## 30. Evaluation of offloaded catches (TRX to)

- Species
- Product form
- Catch areas
- Quantity declared
- Quantity offloaded
- Diff. between quant. declared and determined
- (receiver vessel ID)

# Inspection report (annex C)

## 31. Catch retained on board (quantities)

- Species
- Product form
- Catch areas
- Quantity declared
- Quantity retained
- Difference between quantities declared and determined by inspectors

# **Inspection report (annex C)**

- 32.Examination of fishing or production logbook (or ERS) and other relevant docs
- 33.Compliance with applicable catch documentation scheme(s)
- 34.Compliance with applicable trade information scheme(s)
- 35.Type of gear(s) used
- 36.Gear examined in accordance with paragraph e) of Annex B

# **Inspection report (annex C)**

37. Findings by inspector(s)

38. Apparent infringement(s) noted including reference to relevant legal instrument(s)

39. Comments by the master

40. Action taken

41. Master's comments and signature

42. Inspector's signature

# Inspection results

- Inspection results communicated to:
  - Master and/or vessel operators
  - Vessel flag State
  - State of Master nationality
  - Relevant coastal States and/or RFMOs
  - FAO

# Inspection follow-up

- If IUU activities were confirmed:
  - legal procedures
  - list the vessel in IUU list
  - deny landing, transshipment, processing or packing of catches
  - deny port services, refuelling, maintenance, dry-docking

# **“Sunny Jane”**

- JUL2004 – observed transshipping in the RA
- MAY2005 – Listed in the IUU List A
- NOV2005 – Listed in the IUU List B
- MAR2006 – port services denied in Las Palmas, unauthorised exit of the port
- MAY2006 - observed transshipping in the RA
- JUN2006 – enters port in The Netherlands with catches from other IUU listed vessels



# **“Sunny Jane”**

- JUL2006 – Belize (FS) order a “prohibition from sailing”; unauthorised exit of the port; observed anchored in waters of Azores (PRT)
- SEP2006 – observed anchored in Spanish waters; detained by Spanish authorities in port; inspected by Spanish and Belize inspectors; Belize de-registers vessel; vessel detained in port
- MAY2010 – Spanish authorities confirm scrapping of vessel

# **“Polestar”**

- JUN2006 – observed transshipping with IUU listed vessels in the RA;
- JUN2006 – listed in IUU List A
- AUG2006 – vessel observed heading into the Pacific
- SEP2006 – landing of cargo refused by Japan and Korea; cargo landed in Hong Kong
- NOV2006 – listed in IUU List B

# **“Polestar”**

- FEB2007 – inspected by US authorities (not aware of IUU listing) in Alaska; legal fish cargo loaded
- MAY2007 – enters port in Germany but landing of cargo denied; ordered to leave port; enter Dutch waters but port entry denied; enters port in Morocco and lands cargo; authorities seize cargo; vessel leaves port without authorisation; vessel seized by authorities and brought to port

# **“Polestar”**

- MAY2007 – cargo (over 10M USD) is considered legal and released
- SEP2008 – vessel removed from IUU List B after owners proved that IUU activities (in 2006) happen before their ownership of the vessel

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**Thank You**

**Merci**